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Date October 16, 2003

Miyabi Grace
Miyabi Grace

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No. 10/678,240

Group Art Unit:

Applicant(s): Richard A. Holl

Examiner:

Filing Date: October 3, 2003

Docket No. 58035-013200

Title: APPARATUS FOR TRANSFER OF HEAT
ENERGY BETWEEN A BODY SURFACE AND HEAT
TRANSFER FLUID

Customer No. 33717

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT [37 C.F.R. § 1.56]

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

This Information Disclosure Statement is being submitted:

- ☒ 1. Within three months of the filing date of a national application other than a continued prosecution application under 37 C.F.R. § 1.53(d), or within three months of the date of entry of the national stage as set forth in 37 C.F.R. § 1.491 in an international application; or before the mailing date of a first Office action on the merits, and therefore, Applicant believes no fee is required;

- ☐ 2. After the period specified in paragraph (1) above of this section, but before the mailing date of either a final action under 37 C.F.R. § 1.113, or a notice of allowance under 37 C.F.R. § 1.311, whichever occurs first, and is accompanied by either:
- ☐ a. A statement that:
- (i) Each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months before the filing of the information disclosure statement; or
 - (ii) No item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the statement after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months before the filing of the information disclosure statement;
- ☐ b. The fee for filing an Information Disclosure Statement under 37 C.F.R. § 1.17(p) is \$180.00.
- ☐ 3. After the period specified in paragraph (2) of this section, but on or before payment of the issue fee and is accompanied by:
- ☐ a. A statement that:
- (i) Each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months before the filing of the information disclosure statement; or

(ii) No item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the statement after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months before the filing of the information disclosure statement;

- ☐ b. A petition requesting consideration of the information disclosure statement; and
- ☐ c. The petition fee set forth in 37 C.F.R. § 1.17(i).

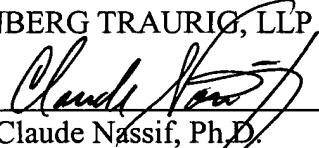
Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

No fee is due. However, the Commissioner is hereby authorized to charge any required fee in connection with the submission of this paper, or to credit any overpayment to Deposit Account No. 50-2638.

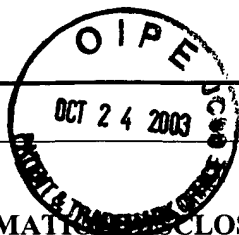
Respectfully submitted,

GREENBERG TRAURIG, LLP

By


Claude Nassif, Ph.D.
Reg. No. 52,061
Tel.: (310) 586-7828

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|--|------------------------|-----------------|
| FORM PTO-1449 | Attorney Docket Number | 58035-013200 |
| INFORMATIONAL CLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary) | Application Number | 10/ 678,240 |
| | Filing Date | October 3, 2003 |
| | Applicant(s) | Richard A. Holl |
| | Group Art Unit | |
| | Examiner Name | |



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| EXAMINER SIGNATURE | DATE CONSIDERED |
| EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | |

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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|---|------------------------|-----------------|
| <p>FORM PTO-1449</p> <p>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</p> <p>(use as many sheets as necessary)</p> | Attorney Docket Number | 58035-013200 |
| | Application Number | 10/ 678,240 |
| | Filing Date | October 3, 2003 |
| | Applicant(s) | Richard A. Holl |
| | Group Art Unit | |
| | Examiner Name | |

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FORM PTO-1449

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Attorney Docket Number 58035-013200

Application Number 10/ 678,240

Filing Date October 3, 2003

Applicant(s) Richard A. Holl

Group Art Unit

Examiner Name

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| EXAMINER INITIALS | DOCUMENT NUMBER | ISSUE DATE | PATENTEE | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
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| | SU 957 991 | Sep 15, 1982 | Soviet Union | 241 | 301 | No | |
| | SU 1 737 241 | May 30, 1992 | Soviet Union | F 27 B | 7/38 | Yes | |

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| | Applicant(s) | Richard A. Holl |
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| | | | | | | YES | NO |
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OTHER DOCUMENTS

| EXAMINER INITIALS | Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. |
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